

TARDis: from project to embedded Institutional Repository

Association of Librarians and Information Professionals in the Social Sciences (ALISS) British Library Conference Centre 26 Jul 2005

http://tardis.eprints.org

http://eprints.soton.ac.uk

Pauline Simpson

National Oceanography Centre, Southampton, University of Southampton Waterfront Campus



eprints.org

University of Southampton: a large multidisciplinary research led institution





NOC is one of the world's leading centres for research and education in marine and earth sciences, for the development of marine technology and for the provision of large scale infrastructure and support for the marine research community

Joint Venture between Natural Environment Research Council and the



University of Southampton Research-led multidisciplinary university: 20,000 students 5000 staff (3000 researchers) The evolutionary story of the Southampton 'keystroke policy': the essence of embedding



- Open Access movement
- Institutional Repositories for Research
- Meeting in the middle
- Building an Institutional Repository based on institution/author needs
- The TARDis route map
- Result: an embedded service for all disciplines

In an ideal world of scholarly communication – all research is freely available



From embarkation to escalation.....

- 27 June 11th anniversary of Stevan Harnad's 'Subversive Proposal' leading to the open access vision for scholarly material
 - Harnad, S. (1995) A Subversive Proposal. In: Ann Okerson & James O'Donnell (Eds.) Scholarly Journals at the Crossroads: a Subversive Proposal for Electronic Publishing. Washington, DC., Association of Research Libraries, June 1995. <u>http://www.ecs.soton.ac.uk/~harnad/subvert.html</u>

http://www.arl.org/scomm/subversive/toc.html

The vocabulary has moved on but journals still becoming more and more expensive

Even the work of researchers in our own institution is still often unavailable to usand we also get emails from across the world when we haven't yet got the full text.... but we're making real progress



TARDis built on Southampton visions



- Harnad vision
- EPrints software had been created at School of Electronics and Computer Science (ECS), Southampton to enable the self archive vision. Now used by over 160 organisations around the world and spawned other IR software
- ECS use the software for the school publications database now a repository with daily deposits (will be incorporated into e-Prints Soton)
- National Oceanography Centre was an early adopter of e-Prints culture
- Resulting TARDis Project is the collaboration of The University Library, School of Electronics and Computer Science, and Information Systems Services alongside academics as one institution

TARDis (Targeting Academic Research for Deposit and Disclosure) - take off



HEFCE / JISC Focus on Access to Institutional Resources (FAIR) 2002 – 2005

- To support the disclosure of institutional assets: To support access to and sharing of institutional content within Higher Education and Further Education and to allow intelligence to be gathered about the technical, organisational and cultural challenges of these processes...
- £3 million on 14 projects
- Clusters:

Museums and Images e-Prints & e-theses IPR Institutional portals

(New Call for Digital Repositories Proposals in Feb & Jun 2005)

TARDis Aims and Objectives



Investigating practical ways in which university research output can be made more freely available - more accessible, more rapidly – as a fundamental building block of e-Research

- Creating an IR model
 - Southampton University Research e-Prints (e-Prints Soton) <u>http://eprints.soton.ac.uk</u>
- Refining Software
 - feeding back into pioneering EPrints software, good citation and information management practice experimenting with best balance of assisted deposit and fast track (functionality, fields, interface)
- Supporting ease of use for depositors of different backgrounds with a wide variety of research output
 - essential ingredient, working closely with 'schools' (found that depends so much on publication culture <u>and</u> working practices)
 - identifying barriers
 - Investigating issues for a new concept

Working with disciplines and cultures for full representation



- Began with initial knowledge of some areas of a large organisation
- Advocacy/solutions most effective when you know the background and tailor to suit
- Key ingredient exploration of web sites and discussions

Sampling of faculty websites – assessing current practice, 2003

Department	Total number of publications listed on Web	Full text on Web	Percentage of Publications with full text
Faculty of Law, Arts and Socia	al Sciences		
Archaeology	252	2	1%
English	243	3	1%
Modern Languages	160	0	0%
Music	280	5	2%
Politics	138	6	4%
Economics	357	89	25%
Maths Education	170	34	20%
Faculty of Medicine, Health an	d Life Sciences		
Biology	796	24	3%
Medicine	1603	247	15%
Health Professions and Rehabilitation Sciences	332	0	0%
Nursing and Midwifery	439	0	0%
Faculty of Engineering, Scien	ce and Mathemati	cs	
Chemistry	1128	111	10%
Electronics and Computer Science	7008	866	12%
Mathematical Studies	849	310	37%
Ocean Circulation and Climate Group, SOES	286	9	3%
James Rennell Division, SOC	792	68	9%





University central recording of research



Central recording mechanism via Corporate and Marketing Service – MS Word lists *"the Research Report provides a comprehensive list of publications by University staff "*

Progressed to pdfs - 1998 to 2002 on the web Need an easier, more proactive way with full text potential

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Research Awards Research Reports Research Support Office Schools and Services	For more details about the Research Report, please contact Corporate and Marketing Services, Highfield, Southampton, SO17 1BJ. Email: <u>external@soton.ac.uk</u> . Tel 02	3 8059 5391.
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Our perception of the benefits to University, Schools and Researchers



- University profile
- School and discipline visibility
- Researcher profile
- Full text content freely accessible
 - link to learning and teaching
- Increased citations

- Secure storage of publications
 - including also theses and dissertations, technical reports
- Links to projects and web pages
- Research reporting
- Interdisciplinary research

Articles freely available online are more highly cited. For greater impact and faster scientific progress, authors and publishers should aim to make research easy to access Nature, Volume 411, Number 6837, p. 521, 2001 Steve Lawrence "Online or Invisible?"

e-Prints Soton evolution

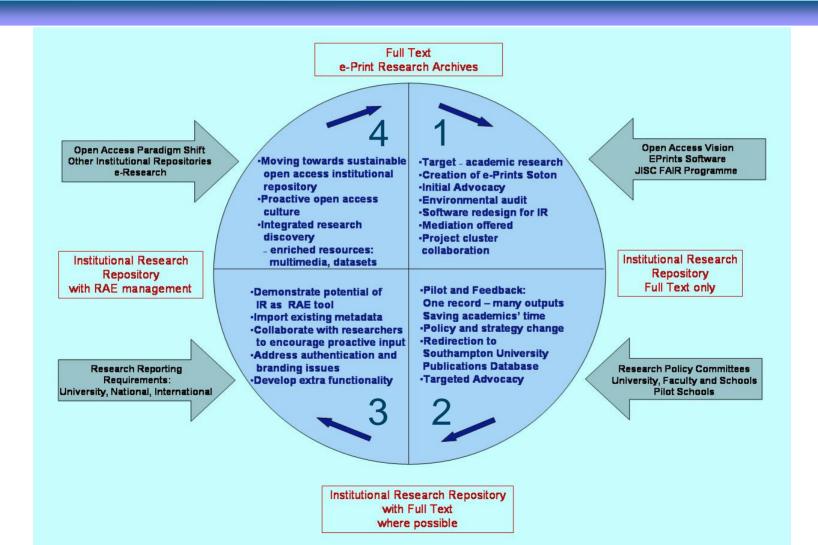


- Original intent to provide secure storage for the full text of Southampton research output (e-Print Archive including post refereed pre published versions of papers deposited by researchers)
- Feedback: maximum benefit if the exercise also assisted researchers with time consuming research reporting tasks: Research Assessment (RAE), University Research Report, web pages, research proposals, CVs etc
- Evolved to 'hybrid' publications database for all research output with full text where available

e-Prints Soton evolution: aiming for full moon at midnight -



the TARDis research repository route map



Achieving a slower but more sustainable model – the TARDis route to embedding



• To achieve the original vision we are moving around the clock face

- Will be central to research recording and visibility for all disciplines
- Working to integrate as well as possible into the research recording workflow
- Initial support included for legacy import depending on availability of previous records
- Collaborating with academics to provide tailored valued services for different disciplines (needing extra functionality)
- Goal: author (or close academic group) self deposit (plus some assisted central support where needed) for new records with full text deposit where practicable
- Aided by a fast moving shared international movement

All rising to great place is by a winding stair' Francis Bacon Open access & IRs – Then (2002) and Now (2005)



- Open Access little known
 - -High level support
 - -Open access publishing
 - -Open access repositories
- Authors non acceptance –surveys
- Copyright transfer –License to Publish
- Publishers
 - -Changing policies -New publishing models
- Software, few options –Multiple, open source
- Funders no support –Mandate deposit

External climate e.g. Copyright issues changing fast



Common e-Print deposit:

Postprint = Post refereed prejournal version

We provide link to **published** version for joined up picture



Publisher copyright policies & self-archiving

Statistics for the 111 publishers on this list

ROMEO colour	Archiving policy	Publishers	%
green	can archive pre-print and post-print	52	47
blue	can archive post-print (ie final draft post-refereeing)	21	19
yellow	can archive pre-print (ie pre-refereeing)	7	6
white	archiving not formally supported	31	28

Summary: 72% of publishers on this list formally allow some form of self-archiving.

As of today....



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Statistics	for the 116 publishers on this li	ist	
ROMEO colour	Archiving policy	Publishers	%
green	can archive pre-print and post-print	58	50
blue	can archive post-print (ie final draft post-refereeing)	20	17
yellow	can archive pre-print (ie pre-refereeing)	5	4
	archiving not formally supported	33	28
white			

External climate - Open Access gaining high level/funder support



US Congress working with National Institutes of Health (NIH) to develop new access policy (Feb 2005)

 Copies of all papers reporting research funded by NIH (\$28 billion) will be deposited in PubMed Central by date specified by the author as soon as possible after acceptance of final peer reviewed manuscript (and within 12 months of the publisher's official date of final publication)

Approximately 60,000 papers each year will be made freely available

http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-04-064.html

External climate - Open Access gaining high level/funder support



- The Wellcome Trust announced (May 2005) that from 1st October 2005, all papers from new research projects must be deposited in PubMed Central or a UK PubMed Central – once it has been formed - within 6 months of publication.
- Looking for partners to set up UK PubMed Central
- £400 million producing 3500 papers per year
- (PubMed Central Feb 2000)





Reflects the view of 8 research councils (28 Jun 2005)

Mandate

- Research Grants awarded from 1 October 2005 will require grant holders to copy any resultant published journal articles or conference proceedings in an appropriate e-print repository either institutional or thematic
- Subject to copyright and licensing arrangements
 Wherever possible at or around the time of publication
- Wherever possible at or around the time of publication
 No obligation to set up a repository where none
 - exists at present
- Will allow applicants to include predicted cost of publication in author-pays journals in fEC project costings

RCUK



Next steps

RCUK Position Statement published on the RCUK website on 28 June 2005

Remains a consultative document until 31 August 2005 while:

- The remaining HEI responses are collected
- Formal comments from the British Library are awaited
- RCUK engages in detailed dialogue with the Learned Societies on a possible future role for them in the peer review process
- Continue in a wider grouping to address other concerns eg Preservation

External climate: currently 20+ UK IRs starting but set to increase



Guardian 14 March 2005:

Scottish universities sign open access deal

The declaration commits each of its 16 university signatories to setting up online libraries of research findings and doctorate papers which all academics can access

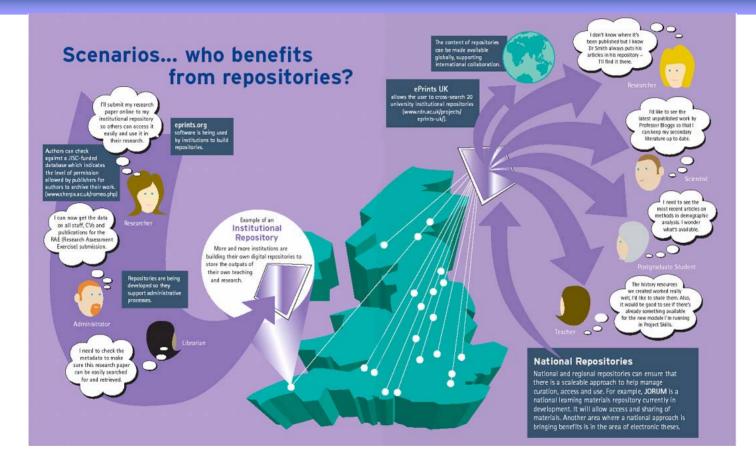
External climate - Declarations on Open Access



- Peter Suber Timeline of the Open Access Movement http://www.earlham.edu/~peters/fos/timeline.htm
- The IFLA Statement on Open Access to Scholarly Literature and Research Documentation http://www.ifla.org/V/cdoc/open-access04.html
- Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities (Max Planck) (Oct 2003) Now nearly 50 signatories
- Bethesda Statement on Open Access Publishing (Jun 2003)
- Buenos Aires
- British Columbia
- Russell Group (UK Universities) 2005
- Budapest Open Access Initiative Feb 2002 (Soros Open Society)

A national and international development of IRs





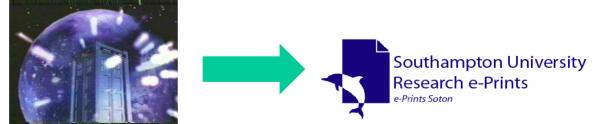
 The JISC vision reflecting the individual repositories (JISC Inform no. 8) TARDis Transition to University integrated service



Southampton University management (agreed Nov 2004) to support the next stage of a library managed repository for key role in research recording and visibility tasks

'Collaboration between services and academic groups has been the key element in the success of the project'

Collaboration with both Information Systems Services and School of Electronics and Computer Science will continue although TARDis is completing its transition to invisibility.



Southampton Press Release 15 Dec 2004



'We see our Institutional Repository as a key tool for the stewardship of the University's digital research assets,' said Professor Paul Curran, Deputy Vice-Chancellor of the University. 'It will provide greater access to our research, as well as offering a valuable mechanism for reporting and recording it.'

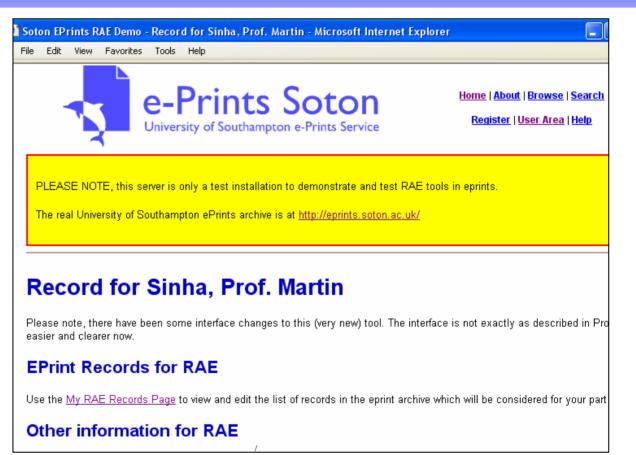


RAE management potential functionality – demo but planned enhancement

New JISC Project to design RAE module for use within EPrints and DSpace software (Southampton and Edinburgh)

Institutional Repositories and Research Assessment

http://irra.eprints.org/about. html



Southampton University

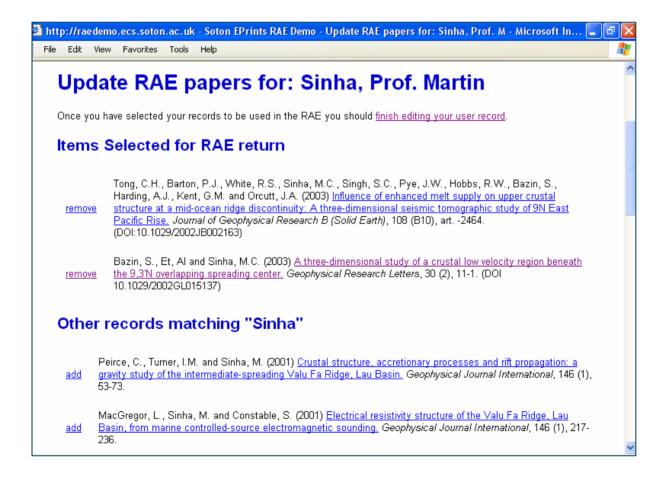
Research e-Prints

e-Prints Soton

Simpson, Pauline and Hey, Jessie (2005) Forward in time: TARDis and the RAE. *JISC Inform*, No. 8, p.16. http://eprints.soton.ac.uk/14522/

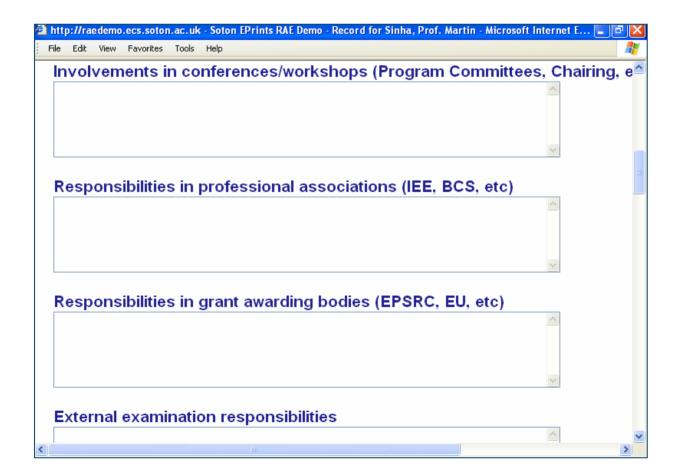
Select your Research Assessment Exercise choices





Add measures of esteem





Data available to Head of School



Miles-Board, T., Bailey, C., Hall, W. and Carr, L. (2004) Building a Companion Website in the Semantic Web. In <i>Proceedings of The Thirteenth International World Wide Web Conference</i> (WWW2004), pages pp. 365-373, New York, NY, USA.	Also selected by: Hall, Wendy
Miles-Board, T., Deveril, , Lansdale, J., Carr, L. and Hall, W. (2003) <u>Decentering the Dancing Text:</u> <u>From Dance Intertext to Hypertext</u> . In <i>Proceedings of Fourteenth conference on Hypertext and</i> <i>Hypermedia, Hypertext</i> '03 , pages pp. 108-119, Nottingham, UK.	
El-Beltagy, S., Hall, W., Roure, D. D. and Carr, L. (2001) <u>Linking in Context</u> . In <i>Proceedings of Proc</i> The Twelfth ACM Conference on Hypertext and Hypermedia (Hypertext '01), pages pp. 151-160.	Also selected by: Hall, Wendy
Miles-Board, T., Carr, L., Kampa, S. and Hall, W. (2003) <u>Supporting Management Reporting: A</u> <u>Writable Web Case Study</u> . In <i>Proceedings of The Twelfth International World Wide Web</i> <i>Conference (WWW2003)</i> , pages pp. 234-243, Budapest, Hungary.	

Involvements in conferences/workshops (Program Committees, Chairing, etc.

Program Committees: International World Wide Web Conferences WWW2001, WWW2002, WWW2003, WWW2004

International Conference on Web Engineering (ICWE 2004) ACM I		ile Edit	View Ins	ert Format	Tools Data	Acrobat	Go To Fa	vorites He	elp			Links	» 🥂
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Not only but also





Showing benefit of high profile Global Web Search Engines -



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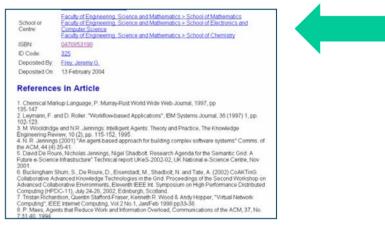
Combinatorial chemistry and the Grid

Frey, J. G., Bradley, M., Essex, J.W., Hursthouse, M.B., Lewis, S.M., Luck, M.M., Moreau, L, De Roure, D.C., Surridge, M. and Welsh, A.H. (2003) Combinatorial chemistry and the Grind. In, Berman, Fran, Hey, Anthory J.G. and Fox, Geoffrey C. (eds.) *Grid computing: making the global infrastructure a reality.* Chichester, UK, John Wiley & Sons Ltd., 945-962. (Wiley Series in Communications Networking and Distributed Systems). http://eonits.soton.ac.uk/325/

Full text available as: <u>PDE</u> - Requires <u>Adobe Reader</u> or other PDF viewer. Microsoft Word - Registered users only - requires Microsoft Word or a .doc file viewer

Abstract

Chemistry has always made extensive use of the developing computing technology and available computing power though activities such as modelling, simulation and chemical structure interpretational - activities conveniently summarised as computational chemistry. Developing procedures in chemical synthesis and characterisation, particularly in the arena of parallel and combinatorial methodology, have generated ever increasing demands on both Computational Chemistry and Computer Technology. Significantly, the way in which networked services are being conceived to assist collaborative research pushes the use of data acquisition, remote interaction & computation, and visualisation, well beyond the traditional computational chemistry programmes towards the basic issue of handling and





Secure storage and visibility – branding for a research group (view)

Southampton University Research e-Prints e-Prints Soton

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Adding more functionality with 'Latest feeds' – by web site



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Welcome to ECS, the School of Electronics and Computer Science at the University of Southampton. ECS is one of the world's leading centres for research, teaching, enterprise and innovation in Electronics, Electrical Engineering and Computer Science. Head of School: Professor Wendy Hall



Headline News

ECS improves broadcasting options for Virgin Radio

The School of Electronics and Computer Science (ECS) at the University of Southampton is the first university department to deploy IPv6 in production on its network. Now a team at the School has worked on the technology with Virgin Radio to vastly increase its listening capacity online.

New release from UK's leading Grid

open-source middleware provider

New middleware from the OMII (Open Middleware

Infrastructure Institute) is released today (Monday 21 March)

with the latest version of OMII 1.2. For the first time OMII 1

is available for Redhat Enterprise Linux. OMII is based in the

School of Electronics and Computer Science. This latest

release follows the successful public launch of OMII 1.0 in

December 2004 and continues the OMII mission to become

the UK source for reliable, open-source Grid Middleware.

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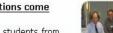
New School Research Brochure highlights advances and successes

The School's new Research Brochure provides an overview of the research environment within the School, including our ten world-leading research groups, our research centres and institutes, and our research opportunities for postgraduate students. You can download a pdf of the Brochure, or order

copies by emailing enquiries@ecs.soton.ac.uk.



Multimedia communications come of age at ECS aroun of undergraduate students from



Philips Semiconductors donates equipment for ECS electronics students In an initiative that will be of great value to

- Jn a paperless world a new role for academic libraries: Providing Open Access
- Equalisation of Broadband MIMO Channels by Subband Adaptive Identification and Analytic Inversion
- Computational Electromagnetics: a Tool, an Art or Black Magic?
- Inductance and resistance calculations for a pair of rectangular conductors
- Editor of the International Journal for Computation and Mathematics in Electrical and Electronic Engineering

Screen in foyer – is my paper there?





Hot off the screen!





Next phase includes building on TARDis (sequel)



•TARDis completed its transition to invisibility early in 2005

–**PRESERV** (Preservation Services for EPrints) - partnering with National Archives File Format Registry (PRONOM) and the British Library

-**CLADDIER** (Citation, Location and Deposition in Discipline and Institutional Repositories) Linking e-Research. – partnering CCLRC, Reading, NERC

-GRADE (Geospatial Repositories ...) – partnering EDINA

Back to the Future !!

Farewell to the TARDis: embedding the institutional repository project in the institution



Collaboration was the key

Collaboration will be the key to future plans for large scale deposit / edit / retrieve / preserve

Project Team

Pauline Simpson, Project Manager

Jessie Hey, Research Fellow

Natasha Lucas, Admin Support

Chris Gutteridge (EPrints developer) / Tim Brody (IR developer)

